

# Towards data-driven evaluation of the nucleon polarizability effects contributing to the Lamb shift

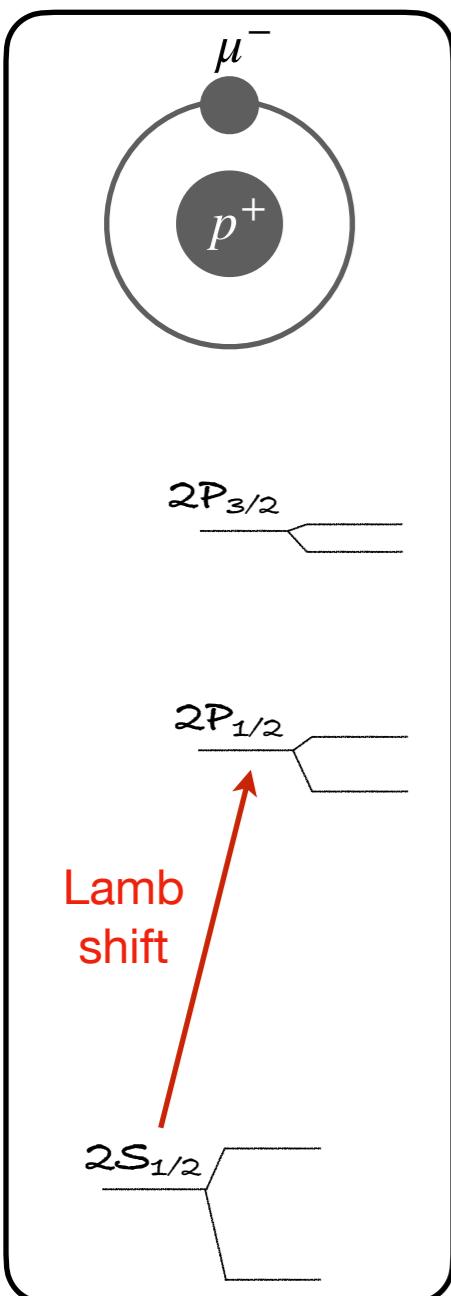
arXiv:2305.08814 [hep-ph]

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# Lamb shift in $\mu\text{H}$



$$E_{2S-2P}^{\text{exp}}(\mu\text{H}) = 202.3706(23) \text{ meV}$$

$$E_{2P-2S}^{\text{th}}(\mu\text{H}) = \underbrace{[205.0074 + 1.0153]}_{\text{Uehling}} + \underbrace{0.0114(3)}_{r_p \text{ indep.}} + \underbrace{0.0006(1)}_{\text{hVP}} - \underbrace{5.2275(10)}_{\text{f.s. corr.}} \left( \frac{r_p}{\text{fm}} \right)^2 - \underbrace{E_{2S}^{\{2\gamma\}}}_{2\gamma \text{ exch.}}$$

**Table 1** Forward  $2\gamma$ -exchange contributions to the  $2S$ -shift in  $\mu\text{H}$ , in units of  $\mu\text{eV}$ .

Reference	$E_{2S}^{(\text{subt})}$	$E_{2S}^{(\text{inel})}$	$E_{2S}^{(\text{pol})}$	$E_{2S}^{(\text{el})}$	$E_{2S}^{\{2\gamma\}}$
DATA-DRIVEN					
(73) Pachucki '99	1.9	-13.9	-12(2)	-23.2(1.0)	-35.2(2.2)
(74) Martynenko '06	2.3	-16.1	-13.8(2.9)		
(75) Carlson <i>et al.</i> '11	5.3(1.9)	-12.7(5)	-7.4(2.0)		
(76) Birse and McGovern '12	4.2(1.0)	-12.7(5)	-8.5(1.1)	-24.7(1.6)	-33(2)
(77) Gorchtein <i>et al.</i> '13 <sup>a</sup>	-2.3(4.6)	-13.0(6)	-15.3(4.6)	-24.5(1.2)	-39.8(4.8)
(78) Hill and Paz '16					-30(13)
(79) Tomalak'18	2.3(1.3)		-10.3(1.4)	-18.6(1.6)	-29.0(2.1)
LEADING-ORDER $B\chi\text{PT}$					
(80) Alarcón <i>et al.</i> '14				$-9.6^{+1.4}_{-2.9}$	
(81) Lensky <i>et al.</i> '17 <sup>b</sup>	$3.5^{+0.5}_{-1.9}$	-12.1(1.8)	$-8.6^{+1.3}_{-5.2}$		
LATTICE QCD					
(82) Fu <i>et al.</i> '22					-37.4(4.9)

<sup>a</sup> Adjusted values due to a different decomposition into the elastic and polarizability contributions.

<sup>b</sup> Partially includes the  $\Delta(1232)$ -isobar contribution.

# Leading polarizability contribution to the Lamb shift

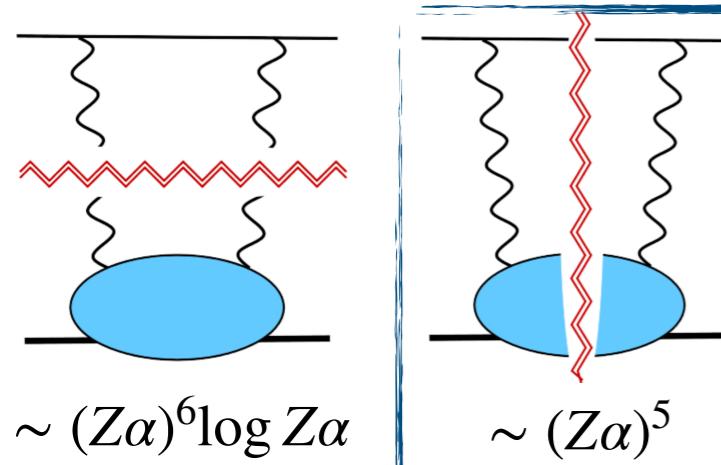
Doubly-virtual Compton scattering tensor:

$$T^{\mu\nu}(q, p) = \left( -g^{\mu\nu} + \frac{q^\mu q^\nu}{q^2} \right) T_1(\nu, Q^2) + \frac{1}{M^2} \left( p^\mu - \frac{p \cdot q}{q^2} q^\mu \right) \left( p^\nu - \frac{p \cdot q}{q^2} q^\nu \right) T_2(\nu, Q^2)$$

Spin-independent part

$$+ \frac{i}{m} \epsilon^{\mu\nu\alpha\beta} q_\alpha s_\beta S_1(\nu, Q^2) + \frac{i}{m^3} \epsilon^{\mu\nu\alpha\beta} q_\alpha (p \cdot q s_\beta - s \cdot q p_\beta) S_2(\nu, Q^2)$$

Spin-dependent part



The leading two-photon contribution to the Lamb shift in a hydrogen-like atom:

$$\Delta E(nS) = 8\pi a m \phi_n^2 \frac{1}{i} \int_{-\infty}^{\infty} \frac{d\nu}{2\pi} \int \frac{d\mathbf{q}}{(2\pi)^3} \frac{(Q^2 - 2\nu^2)T_1(\nu, Q^2) - (Q^2 + \nu^2)T_2(\nu, Q^2)}{Q^4(Q^4 - 4m^2\nu^2)}$$

A. Antognini et al.,  
Ann.Rev.Nucl.Part.Sci. (2022)

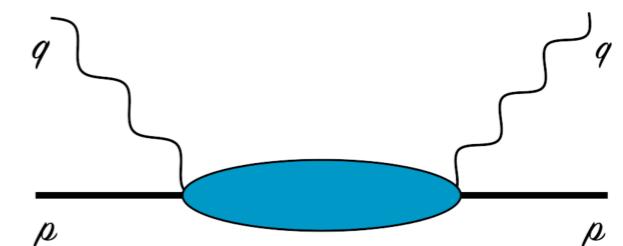
$$T_1(\nu, Q^2) = T_1(0, Q^2) + \frac{32\pi Z^2 \alpha_{\text{em}} M \nu^2}{Q^4} \int_0^1 dx \frac{x F_1(x, Q^2)}{1 - x^2(\nu/\nu_{\text{el}})^2 - i0^+}$$

$$T_2(\nu, Q^2) = \frac{16\pi Z^2 \alpha_{\text{em}} M}{Q^2} \int_0^1 dx \frac{F_2(x, Q^2)}{1 - x^2(\nu/\nu_{\text{el}})^2 - i0^+}$$

$$\nu = p \cdot q, \quad q^2 = -Q^2, \quad p^2 = M^2, \quad \nu_{\text{el}} = Q^2/2M$$

$F_1(x, Q^2), F_2(x, Q^2)$  are the proton structure functions

$x = Q^2/2M\nu$  is a Bjorken variable



# Accessing the subtraction function $T_1(0,Q^2)$

Constraints:  $\lim_{Q^2 \rightarrow 0} T_1^{\text{non-Born}}(0,Q^2) = 4\pi\beta_{M1}Q^2 + \mathcal{O}(Q^4)$ ,  $\lim_{Q^2 \rightarrow \infty} T_1^{\text{non-Born}}(0,Q^2) = \mathcal{O}(Q^{-2})$

Covariant baryon  $\chi$ PT

Lensky et al., PRD (2018)  
Alarcón et al., PRD (2020)

Different types of models

e.g., heavy-baryon  $\chi$ PT+ high- $Q^2$  model

Birse & McGovern, EPJA (2012)

$$T_1^{\text{non-Born}} = 4\pi\beta_{M1} \frac{Q^2}{\left(1 + \frac{Q^2}{\Lambda^2}\right)^4}$$

$$T_1(0,Q^2)$$

Data-driven evaluation

- Can be extracted from the dilepton electroproduction on the nucleon  
Pauk, Carlson, Vanderhaeghen, PRC (2020)
- Can be obtained via another sum rule  
arXiv:2305.08814 (2023)

Prospective Lattice QCD calculation at the subtraction point

$$\nu = iQ$$

Hagelstein & Pascalutsa,  
Nucl. Phys. A (2021)

# Sum rule for the subtraction function

1. Introduce the Compton helicity amplitude with two longitudinally polarized photons

$$T_L(\nu, Q^2) = \left(1 + \frac{\nu^2}{Q^2}\right) T_2(\nu, Q^2) - T_1(\nu, Q^2)$$

2. Assume that  $T_L(\nu, Q^2)$  obeys unsubtracted dispersion relation

Dispersion relation for  $T_L$

$$T_L(\nu, Q^2) = \frac{2}{\pi} \int_{\nu_0}^{\infty} d\nu' \nu'^2 \frac{\sigma_L(\nu', Q^2)}{\nu'^2 - \nu^2}$$

Dispersion relation for  $T_1$

$$T_1(\nu, Q^2) = T_1(0, Q^2) + \frac{2\nu^2}{\pi} \int_{\nu_{\text{el}}}^{\infty} d\nu' \frac{\sigma_T(\nu', Q^2)}{\nu'^2 - \nu^2 - i0^+}$$

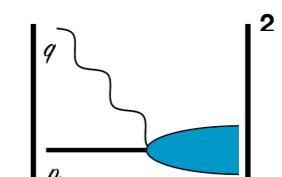
Equalling the amplitudes at  
the Siegert point  $\nu = iQ$

$$T_1(\nu = iQ, Q^2) = -T_L(\nu = iQ, Q^2)$$



$\sigma_L, \sigma_T$  are polarized  
photoabsorption  
cross sections

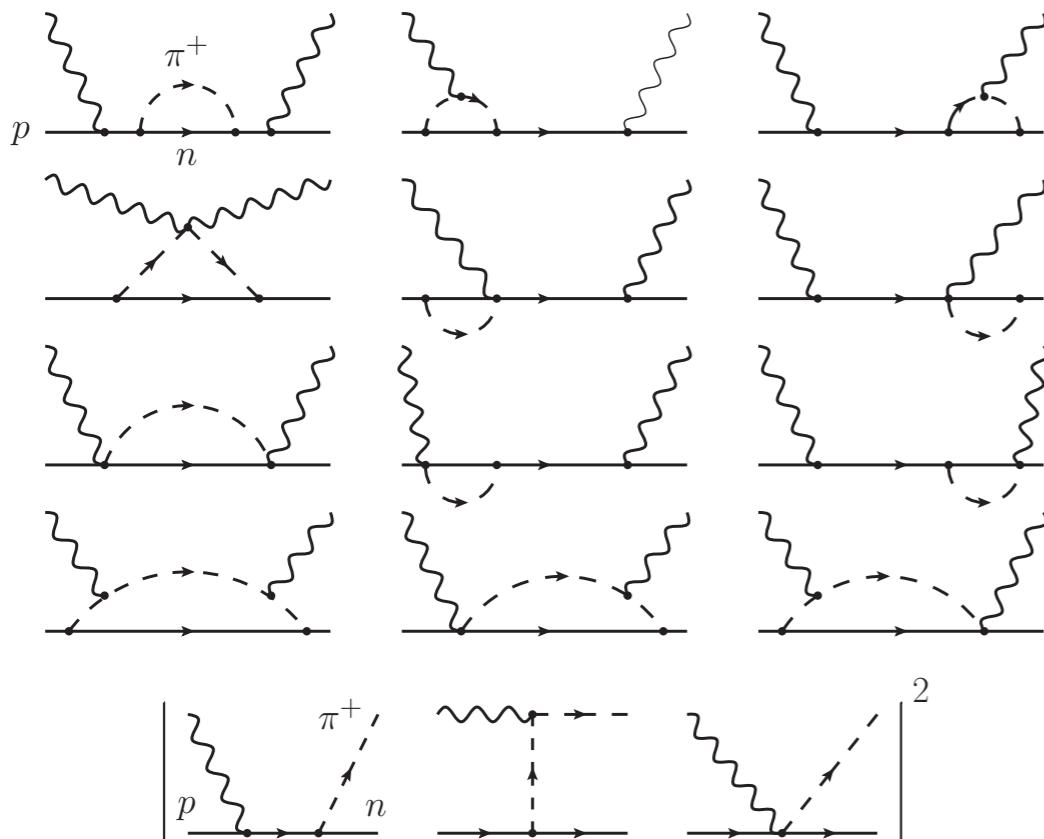
$$T_1(0, Q^2) = \frac{2}{\pi} Q^2 \int_{\nu_0}^{\infty} \frac{d\nu}{\nu^2 + Q^2} \left[ \sigma_T - \frac{\nu^2}{Q^2} \sigma_L \right] (\nu, Q^2).$$



# Verification of the sum rule for subtraction function

arXiv:2305.08814 (2023)

$$T_1(0, Q^2) = \frac{2}{\pi} Q^2 \int_{\nu_0}^{\infty} \frac{d\nu}{\nu^2 + Q^2} \left[ \sigma_T - \frac{\nu^2}{Q^2} \sigma_L \right] (\nu, Q^2).$$



This sum rule is valid in the manifestly covariant baryon  $\chi$ PT for the  $\mathcal{O}(p^3)$  contribution to the proton electric polarizability that comes from the charged pion loops.

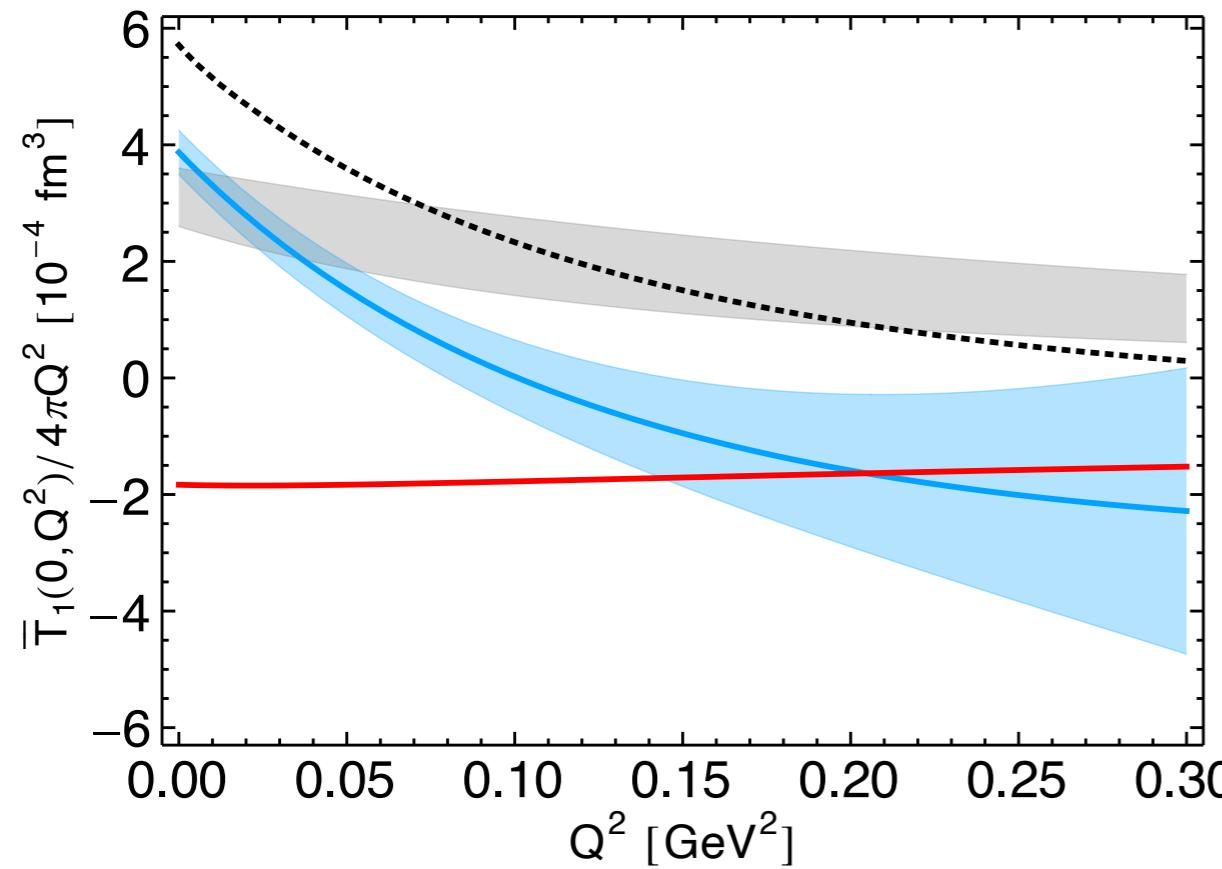
Note that at this order we only verify the polarizability contribution (no contributions from the possible non-pole Born terms)

# Non-Born part of the subtraction function

arXiv:2305.08814 (2023)

$$\bar{T}_1(0, Q^2) = -\frac{\pi \alpha_{\text{em}} Q^2}{M^3} F_2^2(Q^2) + \frac{2}{\pi} Q^2 \int_{\nu_0}^{\infty} \frac{d\nu}{\nu^2 + Q^2} \left[ \sigma_T - \frac{\nu^2}{Q^2} \sigma_L \right] (\nu, Q^2)$$

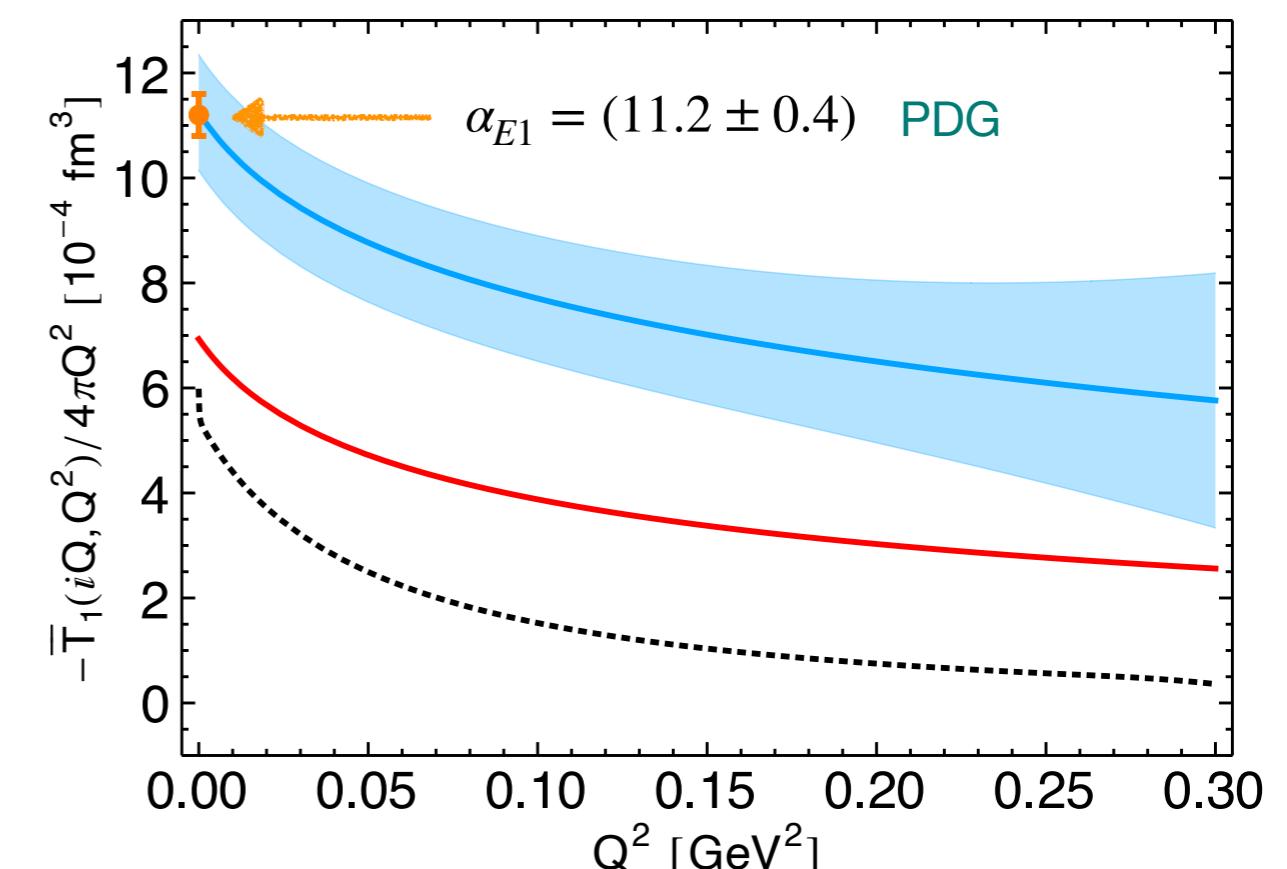
$$\bar{T}_L(iQ, Q^2) = \frac{\pi \alpha_{\text{em}} Q^2}{M^3} F_2^2(Q^2) + \frac{2}{\pi} \int_{\nu_0}^{\infty} d\nu \nu^2 \frac{\sigma_L(\nu, Q^2)}{\nu^2 + Q^2} = -\bar{T}_1(iQ, Q^2)$$



MAID

NLO  $\chi$ PT

Lensky et al., PRC (2014)  
Alarcón et al., PRD (2020)



LO  $\chi$ PT:  $\pi N$ -loops

$\chi$ PT Birse and McGovern, EPJA (2012)

# Sum rules for spin-independent polarizabilities

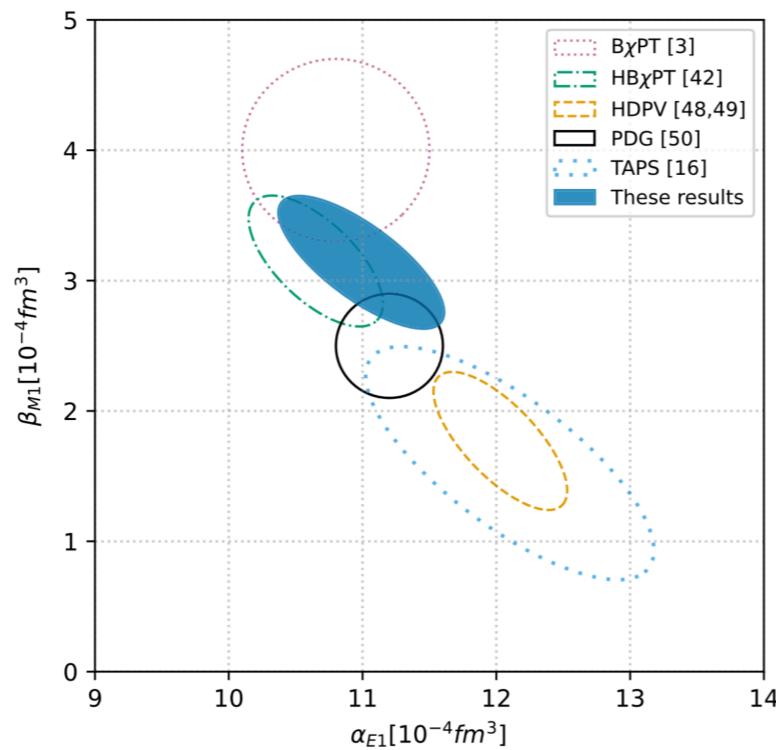
- The low-energy expansion of  $T_1(\nu, Q^2)$ : Baldin sum rule
  - a powerful tool for data-driven evaluation of  $(\alpha_{E1} + \beta_{M1})$
  - widely used in experimental data analysis

$$\alpha_{E1} + \beta_{M1} = \frac{1}{2\pi^2} \int_0^\infty d\nu \frac{\sigma_T(\nu)}{\nu^2}$$

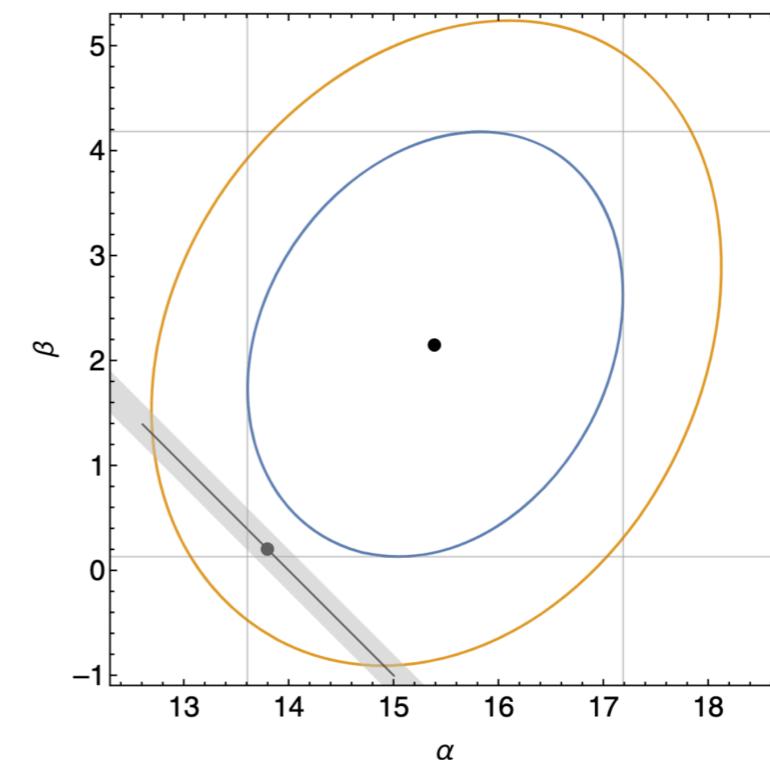
Baldin, Nucl. Phys. 18, 310 (1960)

EXAMPLES:

A2 at MAMI, PRL (2022): Baldin sum rule is treated as an “additional data point”



HI $\gamma$ S, PRL (2022): Baldin sum rule is embedded in the fit function



- The low-energy expansion of  $T_L(\nu, Q^2)$ : Bernabéu-Tarrach sum rule

- a data-driven determination of the electric polarizability alone

Is it a valid sum rule?

$$\alpha_{E1} - \frac{\alpha_{\text{em}} \kappa^2}{4M^3} = \frac{1}{2\pi^2} \int_0^\infty d\nu \left[ \frac{\sigma_L(\nu, Q^2)}{Q^2} \right]_{Q^2 \rightarrow 0}$$

Bernabéu and Tarrach, PLB (1975)

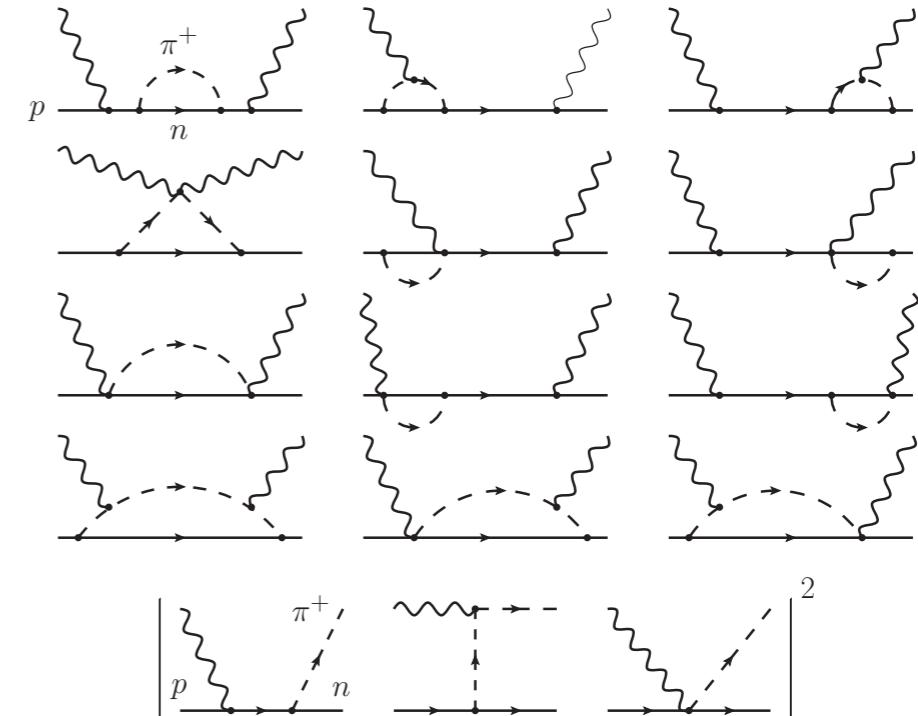
# Validation of the Bernabéu-Tarrach sum rule

arXiv:2305.08814 (2023)

We have found cases when the Bernabéu-Tarrach sum rule holds exactly!

- 1) The sum rule is exactly valid in the manifestly covariant baryon  $\chi$ PT for the  $\mathcal{O}(p^3)$  contribution to the proton electric polarizability that comes from the charged pion loops.

The results of [Bernard et al., PRL (1991), NPB (1992)], were reproduced.



- 2) The sum rule is exactly valid in the naïve parton model

- Callan-Gross relation:  $F_2(x, Q^2) = 2xF_1(x, Q^2) \iff \sigma_L = \frac{Q^2}{\nu^2} \sigma_T$
- $T_L$  satisfies unsubtracted dispersion relation exactly:

$$x = Q^2/2M\nu \text{ is a Bjorken variable}$$

$$T_L(x, Q^2) = T_2(x, Q^2) = \frac{4M\alpha_{\text{em}}}{Q^2} \int_0^1 \frac{d\zeta}{\zeta^2} \frac{x^2 F_2(\zeta, Q^2)}{\left(\frac{x}{\zeta}\right)^2 - 1 - i\epsilon}$$

# Bernabéu-Tarrach sum rule: (in)validation?

## LO QED

[Llanta and Tarrach, PLB (1978)]

- the sum rule converges to the wrong answer

## EFT for pions

Llanta and Tarrach, Phys.Lett. (1980)

L'vov, Sov. J. Nucl. Phys. (1981)

- $\alpha_{E1} > 0$  for both  $\pi^0$  and  $\pi^\pm$ . It violated some of the EFT predictions

## Regge theory arguments

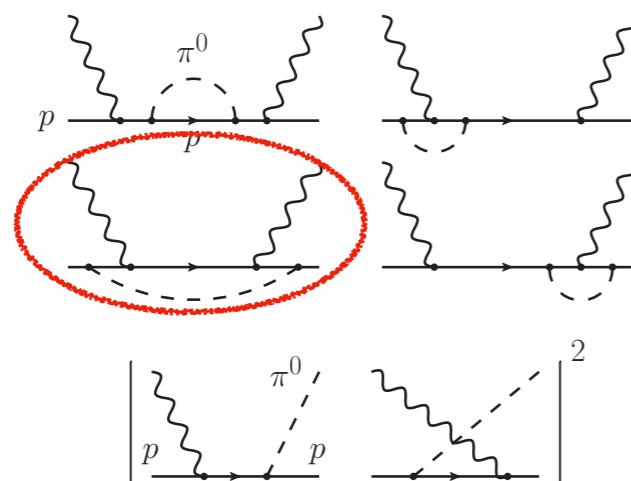
[L'vov, NPA (1998)]

- the sum rule is in general invalid since it is divergent without the subtraction.

## Our checks in LO covariant baryon $\chi$ PT

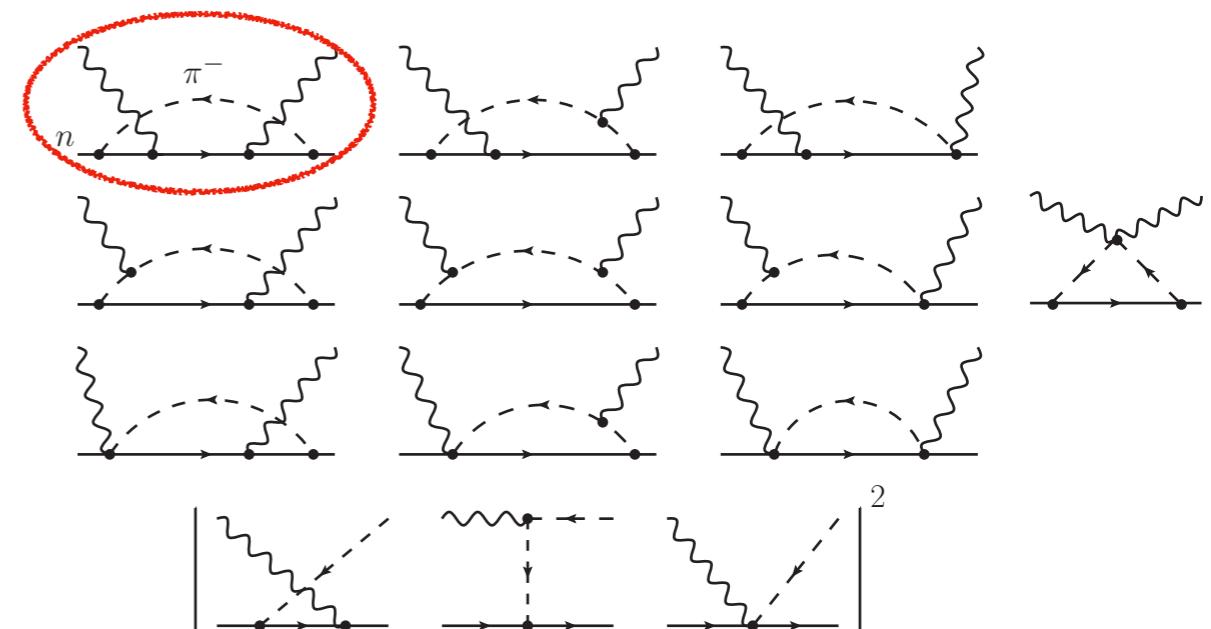
arXiv:2305.08814 (2023)

$\pi^0$  contribution to the Compton scattering off the proton



$$T_L^{\pi^0 p\text{-loops}}(\infty, Q^2) = -\frac{\alpha_{\text{em}}}{12\pi} \frac{g_{\pi N}^2}{M^3} Q^2 + \mathcal{O}(Q^4)$$

The Compton scattering off the neutron



$$T_L^{\pi^- n\text{-loops}}(\infty, Q^2) = -\frac{\alpha_{\text{em}}}{6\pi} \frac{g_{\pi N}^2}{M^3} Q^2 + \mathcal{O}(Q^4)$$

→ In these cases the dispersion relation for  $T_L$  should be modified as follows:

$$T_L(\nu, Q^2) = T_L(\infty, Q^2) + \frac{2}{\pi} \int_{\nu_0}^{\infty} d\nu' \nu'^2 \frac{\sigma_L(\nu', Q^2)}{\nu'^2 - \nu^2}$$

Sugawara and Kanazawa, PhysRev (1961)

# How to deal with asymptotic constants?

Our point: the sum rule is valid if convergent.

- The low-energy physics should not depend on the behavior at very high energies (i.e. physics at the Plank scale)
- The asymptotic constants are the artifacts of the low-energy theory, which is not valid at high energies.
- With proper ultraviolet completion, a theory does not produce the asymptotic constants in the sum rules.

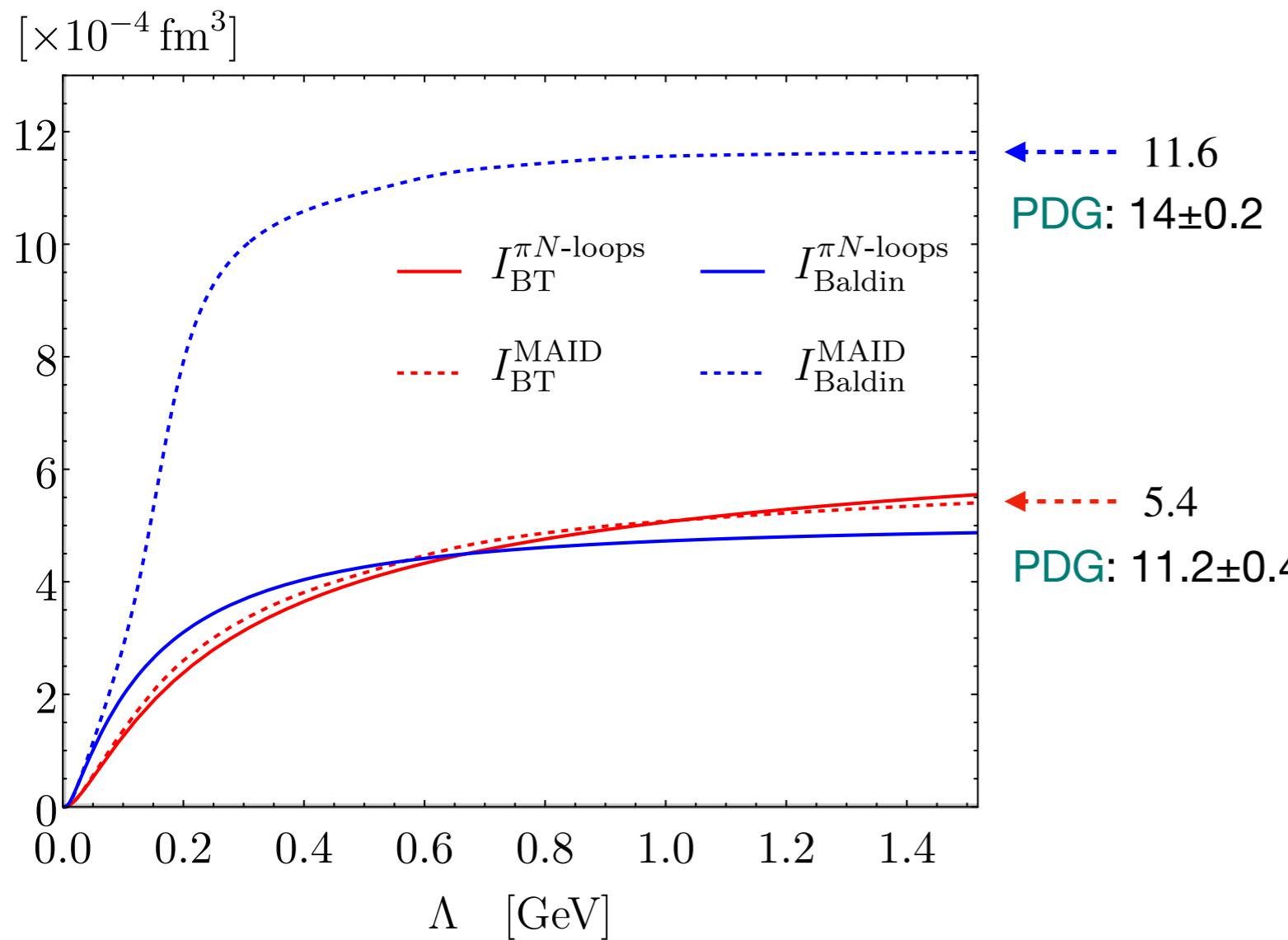
In conclusion, we ought to treat the Bernabéu-Tarrach sum rule as the valid sum rule

# Saturation of the sum rule integral

arXiv:2305.08814 (2023)

$$I_{\text{BT}}(\Lambda) = \frac{1}{2\pi^2} \int_{\nu_0}^{\Lambda} d\nu \left[ \frac{\sigma_L(\nu, Q^2)}{Q^2} \right]_{Q^2 \rightarrow 0}$$

$$I_{\text{Baldin}}(\Lambda) = \frac{1}{2\pi^2} \int_{\nu_0}^{\Lambda} d\nu \frac{\sigma_T(\nu, Q^2)}{\nu^2}$$



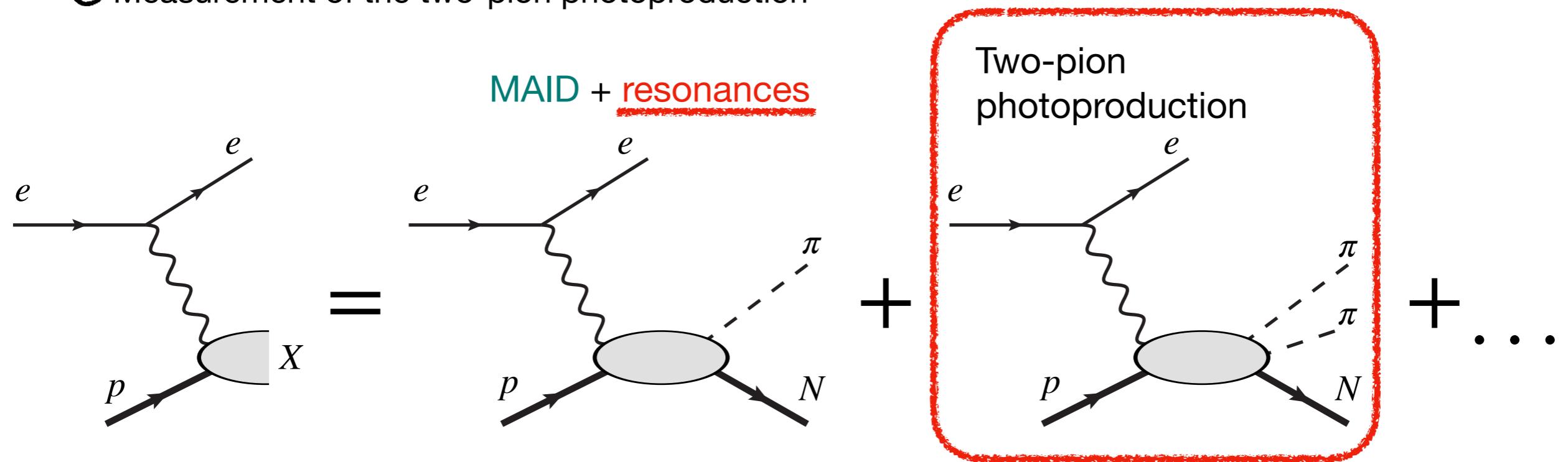
Source	$\alpha_{E1} [\times 10^{-4} \text{fm}^3]$
MAID (extrapolated)	5.4 ( $\sim 7$ )
Kappa term	0.5
resonances	0.5-1*
Total (w/o Regge region)	8-8.5*
[PDG]	$11.2 \pm 0.4$

\*Currently, we have no parametrization of the existing data, which has a stable behavior within the limit  $Q^2 \rightarrow 0$

# Useful experimental input to $\sigma_L$

## □ Low-energy input:

- Improve the existing parametrizations of resonance region to make them valid at the limit  $Q^2 \rightarrow 0$
- Measurement of the two-pion photoproduction



## □ Moderate and high-energy input:

- Detailed measurement of  $F_L(x, Q^2)$  in the region  $\nu = 20 \dots 20\,000 \text{ GeV}$  at low  $Q^2$

# Summary

- The Bernabéu-Tarrach sum rule seems to be as valid as the Baldin sum rule. The dipole polarizabilities can be determined separately within the data-driven approach
- Consequently, the data-driven evaluation of the subtraction function in the proton polarizability contribution to the Lamb shift of hydrogen-like atoms is also possible
- Several experimental inputs on  $\sigma_L$  are needed in order to test the sum rules. At low energies, the most interesting one is the two-pion photoproduction on a proton.
- The high-quality parametrization of the current data on  $\sigma_L$  with the correct limit  $Q^2 \rightarrow 0$  is highly required!

Thank you for attention!