



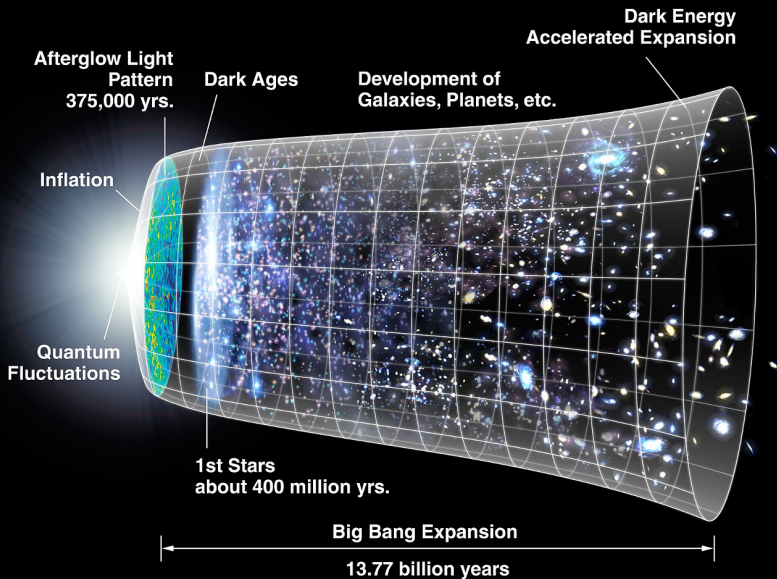
Ab initio prediction of $\alpha(d, \gamma)^6\text{Li}$ and impact of the ^6Li properties
onto α -induced reactions of astrophysical interest

Chloë Hebborn

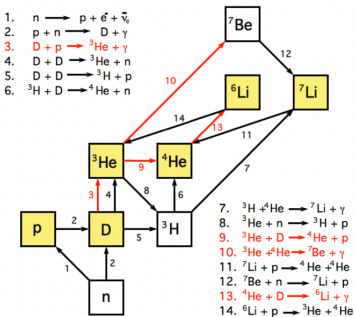
[PRL 129, 042503 (2022) & arXiv:2307.05636]

August, 2 2023

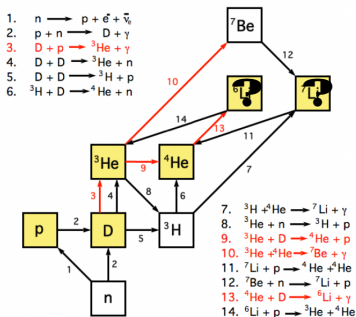
Light nuclei, such as Lithium, were already present ~3 minutes after the Big Bang



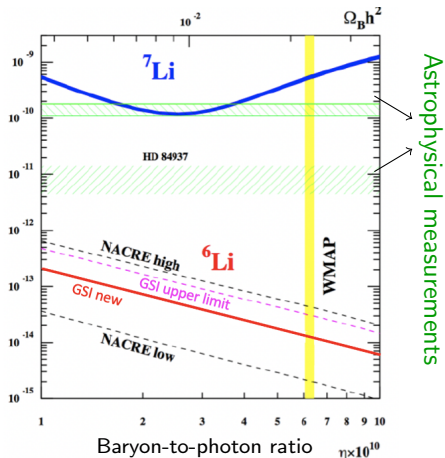
The Big-Bang nucleosynthesis accurately predicts abundances at early time...



The Big-Bang nucleosynthesis accurately predicts abundances at early time... but for Lithium isotopes

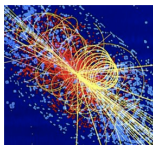


cf B. Acharya's talk



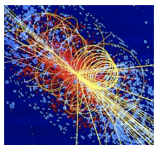
[Fig. adapted from JPCS 665 012004 (2016)]

Different possible solutions to the Lithium problem exist



High-energy physics : inaccurate baryon-to-photon ratio
→ BSM physics? unlikely as agreement for He and Be

Different possible solutions to the Lithium problem exist

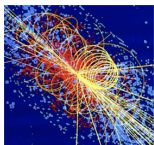


High-energy physics : inaccurate baryon-to-photon ratio
→ BSM physics? unlikely as agreement for He and Be

Astrophysics : uncertainties in measuring the BBN abundances

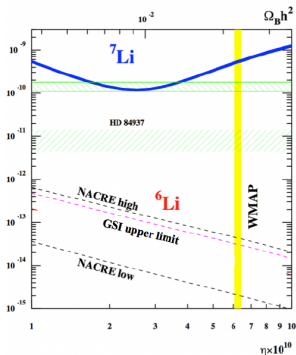


Different possible solutions to the Lithium problem exist



High-energy physics : inaccurate baryon-to-photon ratio
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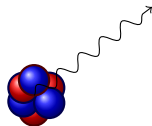
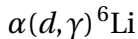
Nuclear physics :

→ Large uncertainties

[cf B. Acharya's & C. Bruno's talks]

→ Uncertainties on $\alpha(d, \gamma)^6\text{Li}$ dominates the uncertainties on ${}^6\text{Li}$ abundances

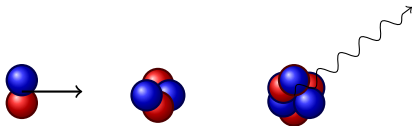
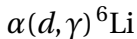
Reactions at low energy are difficult to measure as the two charged nuclei repulse each other



very low cross section
= low reaction probability

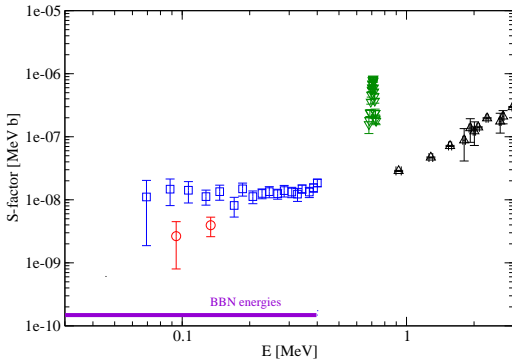
$$\sigma(E) = \frac{\exp[-2\pi\eta]}{E} S(E)$$

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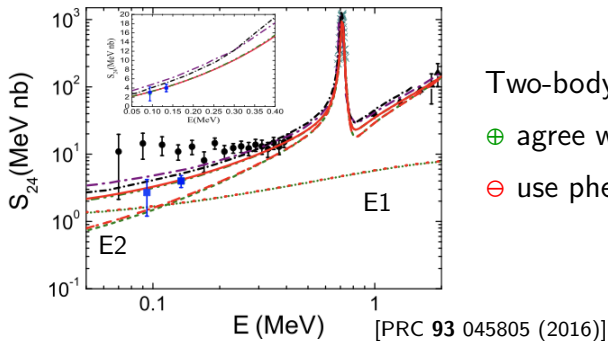
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Data : [Anders *et al.* (LUNA) PRL **113** 042501 (2014)] [Kiener *et al.* PRC **44** 2196 (1991)]
[Mohr *et al.* **50** 1543 (1994)] [Robertson *et al.* PRL **47** 1867 (1981)]

Theories based on two-body models do not evaluate consistently all electromagnetic transitions



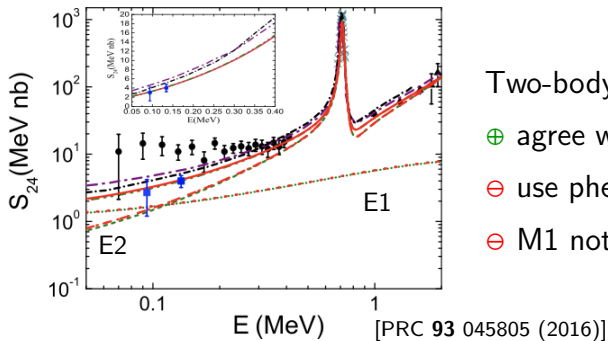
Two-body models :

⊕ agree with direct data

⊖ use pheno. interaction



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Two-body models :

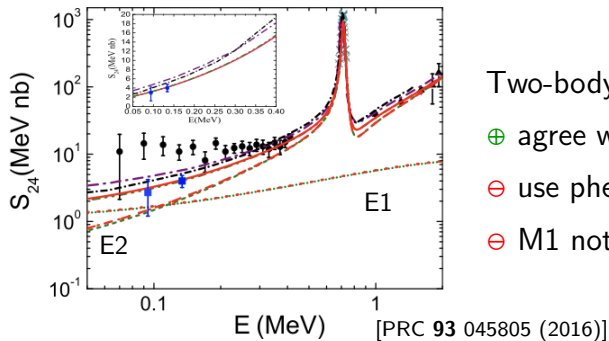
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⊖ M1 not evaluated



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Two-body models :

- ⊕ agree with direct data
- ⊖ use pheno. interaction
- ⊖ M1 not evaluated

E1 dipole suppressed as $R_{cm} = R_{cm}^{ch}$

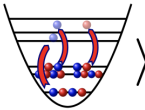
⊖ Use of pheno. prescription with exp. mass

⇒ Need for accurate **microscopic** prediction → *ab initio* methods



For a complete *ab initio* description, we need both structure...

No core shell-model

$$\Psi = \sum_{\lambda} c_{\lambda} \left| \begin{array}{c} \text{Discrete structure} \\ \text{information input} \end{array} \right\rangle$$


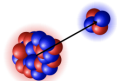
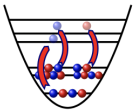
The diagram shows a parabolic potential well with several horizontal lines representing discrete energy levels. Inside the well, there are several small spheres representing nucleons, colored red and blue. Red arcs connect some of the nucleons, indicating interactions or transitions between states.

- ⊕ **Bound states,**
- narrow resonances**
- **short-range**

For a complete *ab initio* description, we need both structure... and dynamical clustered description

No core shell-model with continuum

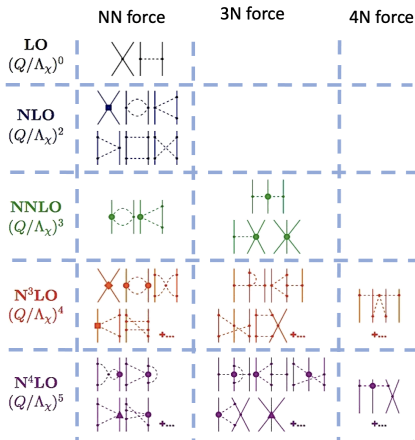
[Navrátil, Quaglioni, Hupin, Romero-Redondo and Calci, Phys. Scr. **91**, 053002 (2016)]

$$\Psi = \sum_{\lambda} c_{\lambda} \left| \begin{array}{c} \text{Discrete structure} \\ \text{information input} \end{array} \right\rangle + \sum_{\nu} \int dr u_{\nu}(r) \left| \begin{array}{c} \text{Continuous dynamical} \\ \text{input (clustering/reactions)} \end{array} \right\rangle$$


⊕ **Bound states,**
narrow resonances
→ **short-range**

⊕ **Bound & scattering states,**
reactions
→ **long-range**

Chiral-EFT links the nuclear force to QCD



Systematically improvable expansion !

Includes long-range π physics explicitly

→ empirically constrained parameters capture short-distance physics

Ab initio predictions are accurate for α - d scattering

Convergence with 15 ${}^6\text{Li}$ NCSM states,
 d g.s. + 8 d pseudostates at $N_{max} = 11$



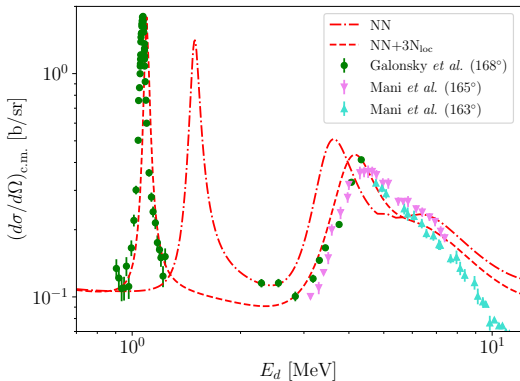
HPC at LLNL

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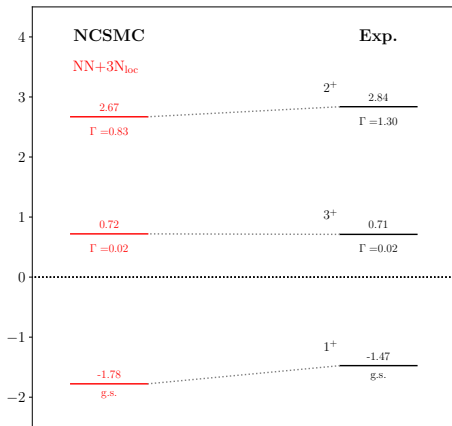


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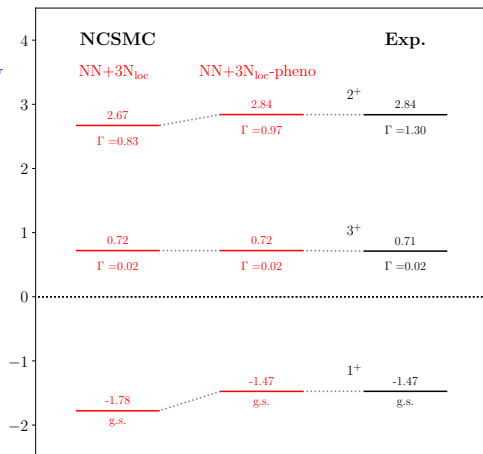
Importance of 3N (SRG-induced & chiral)

Ab initio predictions are accurate for ${}^6\text{Li}$ spectrum but... not perfect

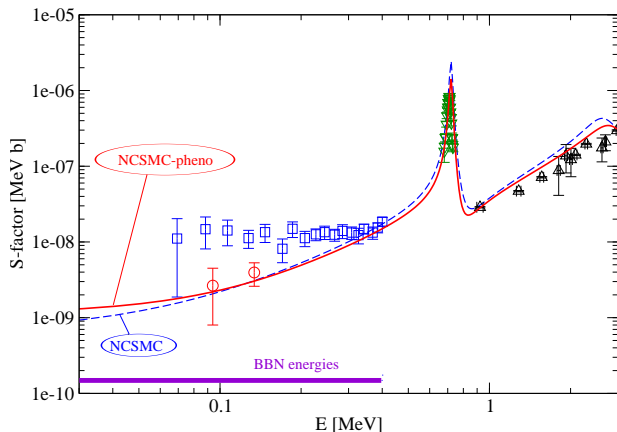


Accurate prediction of $\alpha(d, \gamma){}^6\text{Li} \rightarrow$ need to have the right ${}^6\text{Li}$ g.s.

Use of a phenomenological correction for the overbinding and the position of the 2^+ resonance

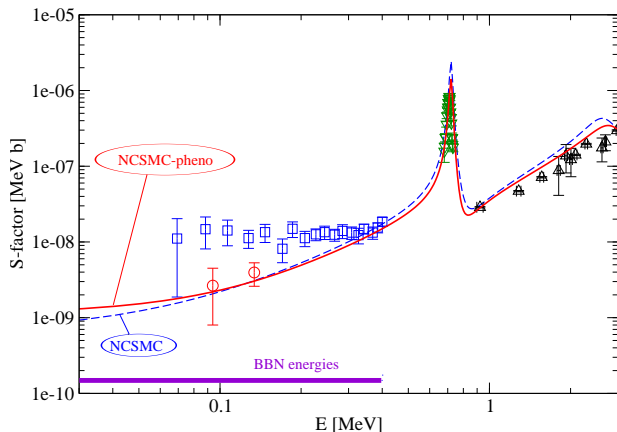


Ab initio prediction fills the experimental gap for $\alpha(d, \gamma)^6\text{Li}$



Excellent agreement with data : importance of E_{1+} at low energies and E_{2+} at higher energies

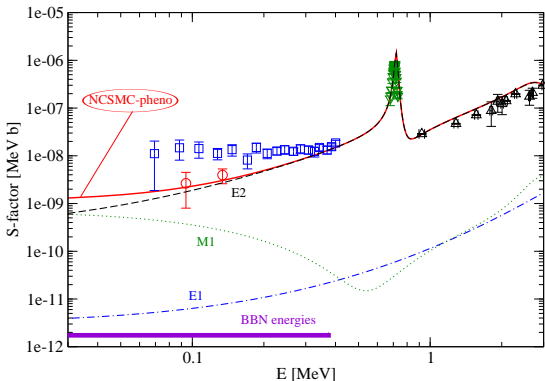
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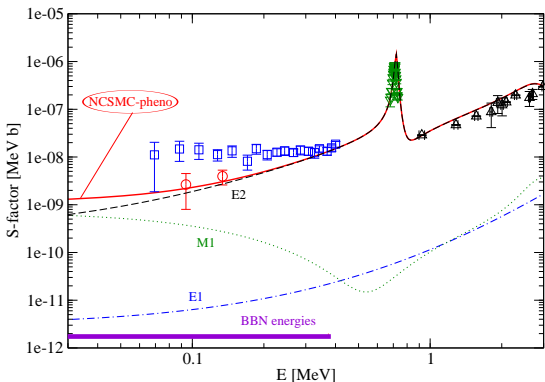
Which electromagnetic transitions drive this reaction ?

The S-factor is dominated by E2 and M1 at low energies



E2 larger than previous eval. \rightarrow larger **ANC**

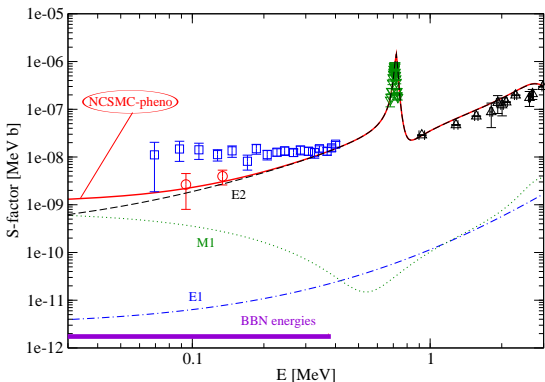
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M1 are typically not evaluated in few-body models

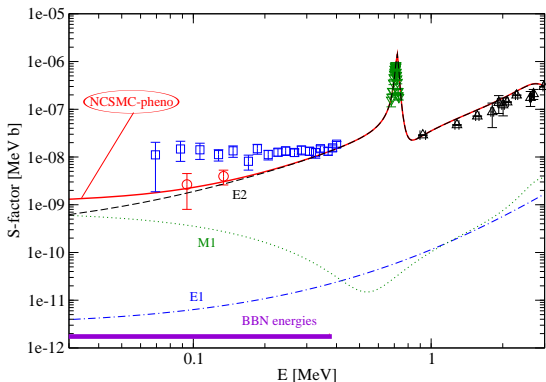
M1 important at low E \rightarrow which role in other capture reactions?

The S-factor is dominated by E2 and M1 at low energies



E1 evaluated with pheno. prescriptions predicted to be dominant
Isovector **E1 transitions negligible** due to small $T = 1$ mixing in ${}^6\text{Li}$

The S-factor is dominated by E2 and M1 at low energies



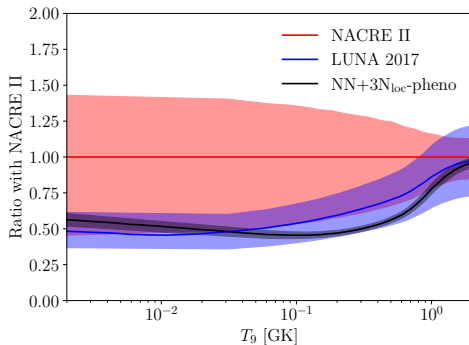
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What is the uncertainty due to the choice of χ -EFT force & to the finite size of the basis?

Ab initio-informed predictions reduce the uncertainties on the $\alpha(d, \gamma)^6\text{Li}$ rate by an average factor 7

Comparison of two chiral forces and different N_{max}

→ Small uncertainties thanks to the adjustment of the ^6Li g.s. energy

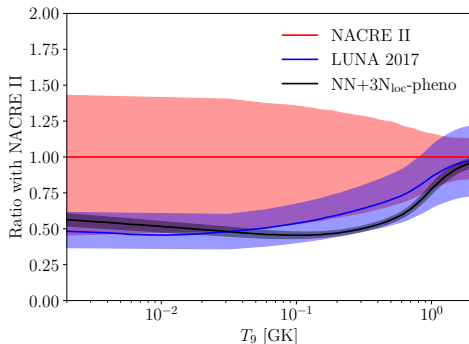


[Hebborn, Hupin, Kravvaris, Quaglioni, Navrátil, Gysbers, Phys. Rev. Lett. **129**, 042503 (2022)]

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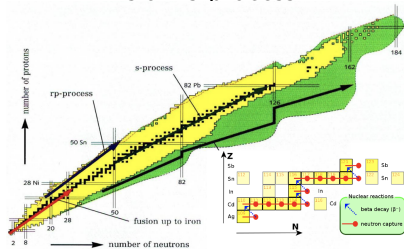


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→ **Discrepancy in ^6Li abundances cannot be explained by uncertainties on the $\alpha(d, \gamma)^6\text{Li}$ reaction rate**

Various α -induced reactions play a key role in astrophysics

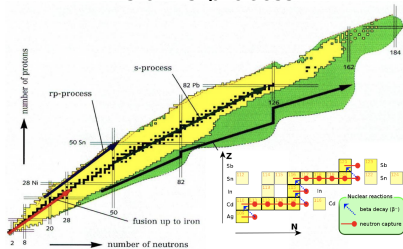
slow s-process



$^{13}\text{C}(\alpha, n)^{16}\text{O}$: major n source

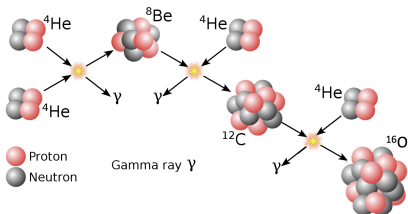
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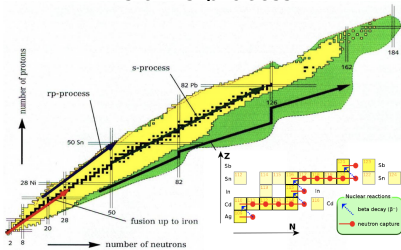
Helium burning



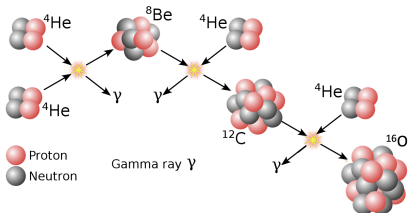
$^{12}\text{C}(\alpha, \gamma)^{16}\text{O}$: $^{12}\text{C}/^{16}\text{O}$ abundances

Various α -induced reactions play a key role in astrophysics

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Helium burning



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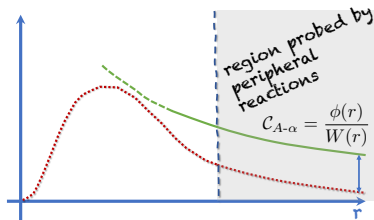
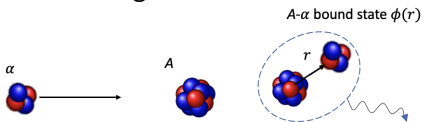
${}^{13}\text{C}(\alpha, n){}^{16}\text{O}$ & ${}^{12}\text{C}(\alpha, \gamma){}^{16}\text{O}$ influence abundances of heavier isotopes !

Too many nucleons for ab initio predictions of reaction...

How can we predict accurately (<10% error) α -induced rates ?

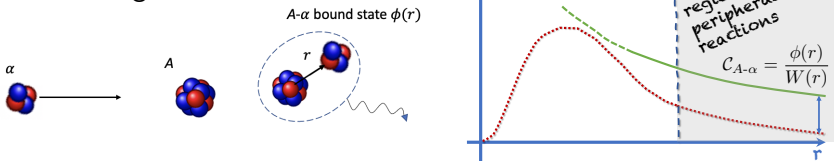
At $E \rightarrow 0$ MeV, non-resonant reactions are peripheral, they scale with the ANC^2 of subthreshold states

At low energies :

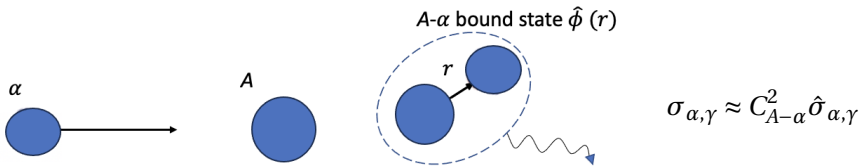


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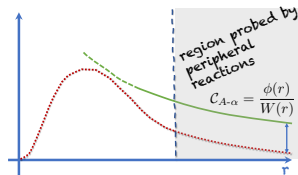
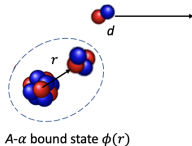
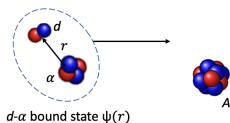
The cross section can be obtained in a two-body model



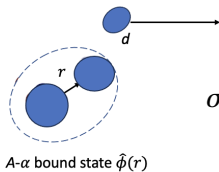
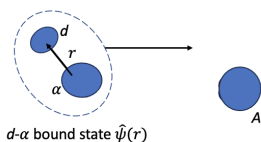
If one knows $C_{A-\alpha}^2$, one can determine accurately the rate at low E !

α -transfer (${}^6\text{Li}, d$) around the Coulomb barrier are also peripheral and can be used to extract ANCs

At low energies :



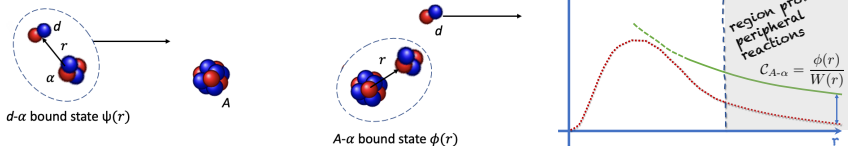
The cross section can be obtained in a three-body model



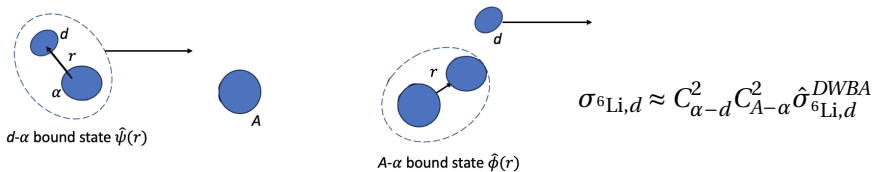
$$\sigma_{{}^6\text{Li},d} \approx C_{\alpha-d}^2 C_{A-\alpha}^2 \hat{\sigma}_{{}^6\text{Li},d}^{DWBA}$$

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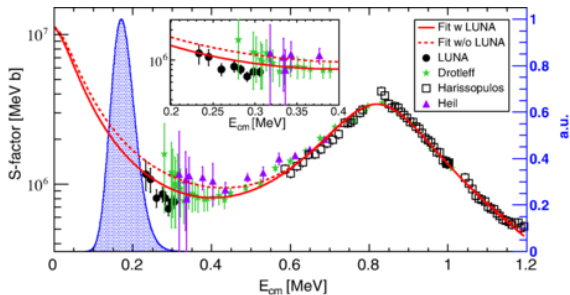
The cross section can be obtained in a three-body model



If one knows $C_{\alpha-d}^2$, one can determine $C_{A-\alpha}^2$ from (${}^6\text{Li}, d$) data !

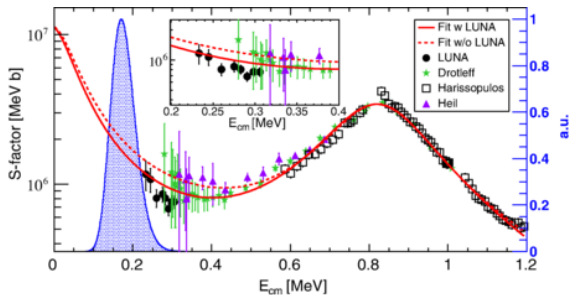
ANC method : [Tribble *et al.* Rep. Prog. Phys. **77**, 106901 (2014)]

The $^{13}\text{C}(\alpha, n)^{16}\text{O}$ S-factor has been measured underground and extrapolated to zero energies...

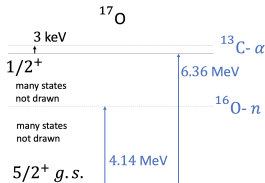


[Ciani *et al.* (LUNA collaboration) PRL **127**, 152701 (2021)]

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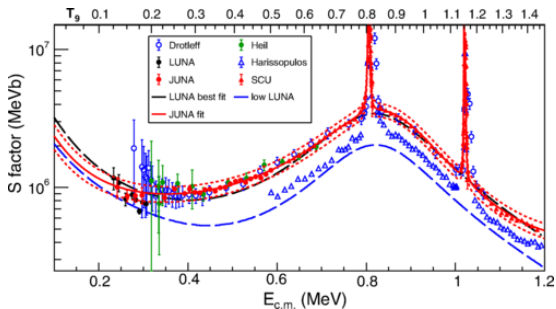


$(C_{^{13}\text{C}-\alpha}^{1/2+})^2$ constrains the extrapolation

Deduced from $(^6\text{Li}, d)$ data at ~ 0.6 MeV

[Avila *et al.* PRC **91**, 048801 (2015)]

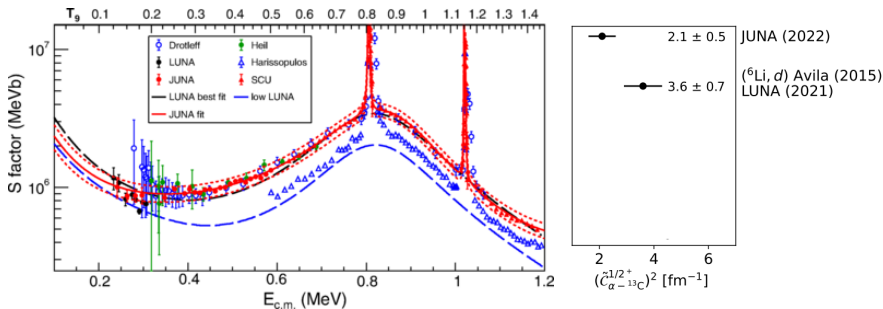
but new underground measurements predict a $S(0)$ 21% smaller than LUNA...



[Gao *et al.* (JUNA collaboration) PRL **129**, 132701 (2022)]

but new underground measurements predict a $S(0)$ 21% smaller than LUNA... and the differences can be traced to

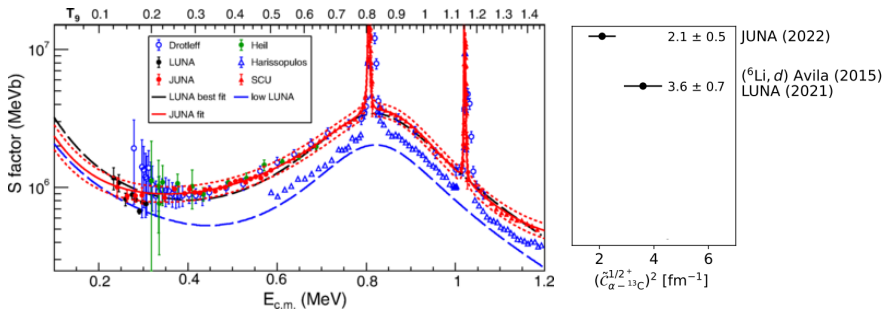
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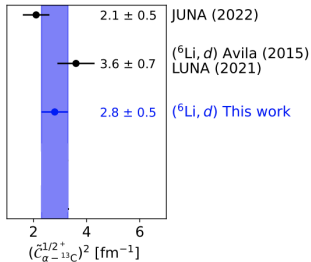


[Gao *et al.* (JUNA collaboration) PRL **129**, 132701 (2022)]

What can explain this discrepancy ?

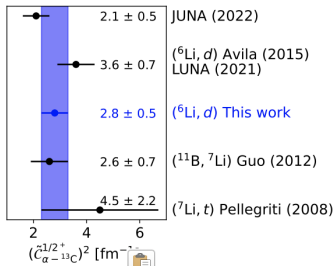
$$\sigma_{6\text{Li},d} \approx C_{\alpha-d}^2 C_{A-\alpha}^2 \hat{\sigma}_{6\text{Li},d}^{DWBA}$$

Using the ab initio $C_{\alpha-d}$ to reanalyze (${}^6\text{Li}, d$) data, we reconcile both LUNA and JUNA analyses!



Previous $(C_{\alpha-d})^2$: [Blokhintsev *et al.* PRC **48**, 2390 (1993)]
→ unaccounted syst. uncertainties!
22% smaller than **ab initio** $(C_{\alpha-d})^2$

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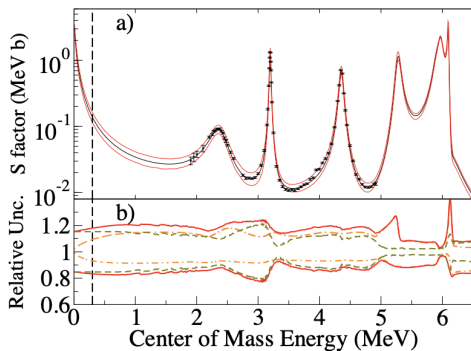
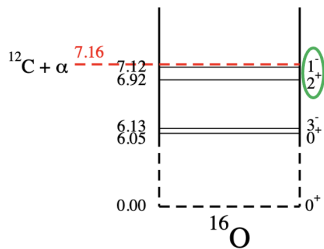
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Our $(C_{\alpha-d})^2$ explains the discrepancy between JUNA and LUNA $S(0)$,
is more precise, & favors the JUNA evaluation of $S(0)$!

Another key astrophysical reaction $^{12}\text{C}(\alpha, \gamma)^{16}\text{O}$ have been constrained using $(^6\text{Li}, d)$ data and previous ANC!

$C_{\alpha-^{12}\text{C}}$ extracted from $(^6\text{Li}, d)$ data used in R-matrix fits

(large set of data : ANCs, S-factor, el. scattering, β -delayed α emission)



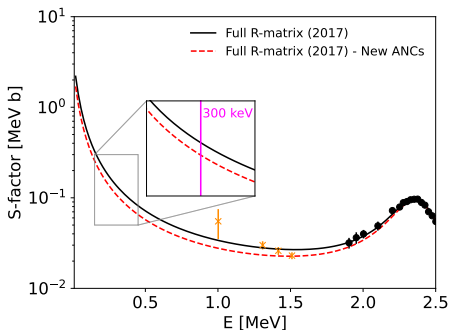
[deBoer *et al.* Rev. Mod. Phys. **89**, 035007 (2017)]

The ab initio $(C_{\alpha-d})^2$ leads to a reduction of 21% of the $(C_{\alpha-^{12}\text{C}})^2$ & S-factor at stellar energies !

J^π	E_{ex}	Probe	$(C_{\alpha-^{12}\text{C}}^J)^\pi$	
			Past Work	-----
0^+	6.05	$(^6\text{Li}, d)$	2.43(30)	1.88(16) $\times 10^6$
3^-	6.13	$(^6\text{Li}, d)$	1.93(25)	1.49(14) $\times 10^4$
		$(^6\text{Li}, d)$	1.24(24)	0.96(16) $\times 10^{10}$
2^+	6.92	$(^6\text{Li}, d)$	1.48(16)	1.14(7) $\times 10^{10}$
1^-	7.12	$(^6\text{Li}, d)$	4.33(84)	3.34(58) $\times 10^{28}$
		$(^6\text{Li}, d)$	4.39(59)	3.39(34) $\times 10^{28}$

[Brune *et al.* PRL **83**, 4025 (1999)]

[Avila *et al.* PRL **114**, 071101 (2015)]



Data : [Schürmann *et al.* EPJA **26**, 301 (2005)]

Data : [Plag *et al.* PRC **86**, 015805 (2012)]

Data sets cannot constrained ANCs → renormalization factors

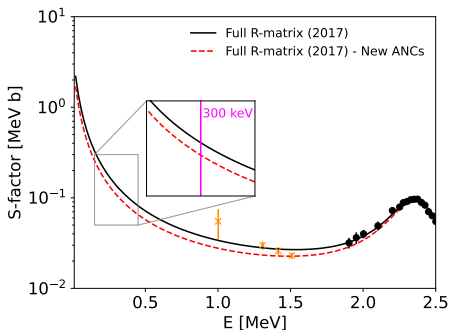
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2^+	6.92	$(^6\text{Li}, d)$	1.48(16)	1.14(7) $\times 10^{10}$
		$(^7\text{Li}, t)$	1.33(29)	
		$(^7\text{Li}, t)$	2.07(80)	
1^-	7.12	$(^6\text{Li}, d)$	4.33(84)	3.34(58) $\times 10^{28}$
		$(^6\text{Li}, d)$	4.39(59)	
		$(^7\text{Li}, t)$	4.00(138)	

[Brune *et al.* PRL **83**, 4025 (1999)]

[Avila *et al.* PRL **114**, 071101 (2015)]

[Oulebsir *et al.* PRC **85**, 035804 (2012)]



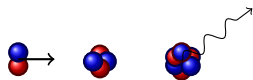
Data : [Schürmann *et al.* EPJA **26**, 301 (2005)]

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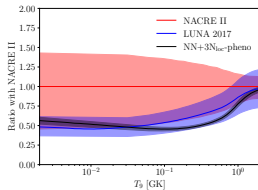
Tension with $(^7\text{Li}, t)$ results \rightarrow unaccounted uncertainties in $C_{\alpha-t}$?

Summary and prospects

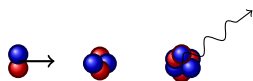


Ab initio methods are accurate for light systems
→ Start from a χ -EFT NN+3N Hamiltonian
& no pheno. approximation of the E1 and M1 !

Ab initio prediction reduces the uncertainties on the $\alpha(d,\gamma)^6\text{Li}$ rate by ~ 7 !

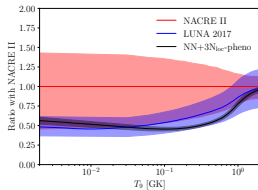


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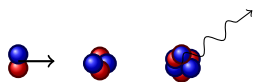
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Use of ab initio input in the analysis of indirect measurements :

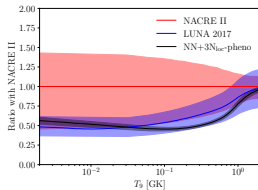
- Reconciliation of LUNA & JUNA S-factors for $^{13}\text{C}(\alpha, n)^{16}\text{O}$
- $^{12}\text{C}(\alpha, \gamma)^{16}\text{O}$ S-factor at stellar energies reduced by 21% !

Summary and prospects



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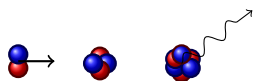


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Prospects : - comprehensive R-matrix fit of $^{12}\text{C}(\alpha, \gamma)^{16}\text{O}$ at all E
- propagate these rates into nucleosynthesis network

Summary and prospects

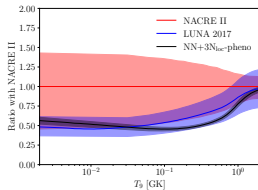


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- propagate these rates into nucleosynthesis network

- improvements of theoretical description of transfer reaction

And because there are so many nice physics to do, opening postdoctoral position in few-body physics at FRIB 😊!



<https://careers.msu.edu/cw/en-us/job/515301/research-associatefixed-term>

Deadline on August 21 (start date negotiable)

ask me any questions 😊

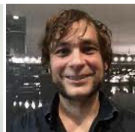
Thanks to my collaborators...



Sofia Quaglioni



Kostas Kravvaris



Gregory Potel



Petr Navratil



Peter Gysbers



Guillaume Hupin



Melina Avila

and thank you for your attention !

Appendices

No core shell model with continuum Hamiltonian

$$\begin{array}{c}
 \begin{array}{c} \text{Blue box: } E_{\lambda}^{NCSM} \delta_{\lambda\lambda'} \\ \text{Green box: } \langle (A) | H \hat{A}_v | (a) \rangle_{(A-a)} \end{array} \\
 \downarrow \text{Blue arrow} \quad \downarrow \text{Green arrow} \\
 \begin{pmatrix} H_{NCSM} & \\ & h \end{pmatrix} \begin{pmatrix} (C) \\ (\gamma) \end{pmatrix} = E \begin{pmatrix} 1_{NCSM} & \\ & g \end{pmatrix} \begin{pmatrix} (C) \\ (\gamma) \end{pmatrix} \\
 \uparrow \text{Red arrow} \quad \uparrow \text{Red arrow} \\
 \begin{array}{c} \text{Red box: } \langle (A-a) | \hat{A}_v H \hat{A}_v | (a) \rangle_{(A-a)} \\ \text{Red box: } \langle (A-a) | \hat{A}_v \hat{A}_v | (a) \rangle_{(A-a)} \end{array}
 \end{array}$$

[Navrátil, Quaglioni, Hupin, Romero-Redondo and Calci, Phys. Scr. **91**, 053002 (2016)]

Using microscopic R-matrix theory to obtain both bound and scattering states

Microscopic R-matrix theory :

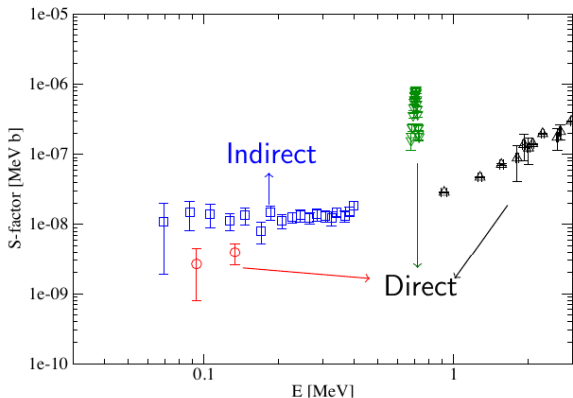
[Descouvemont and Baye, Rep. Prog. Phys. **73**, 036301 (2010)]



Internal part :
need to compute the potential

External :
only free components

Direct measurements are scarce and have large uncertainties

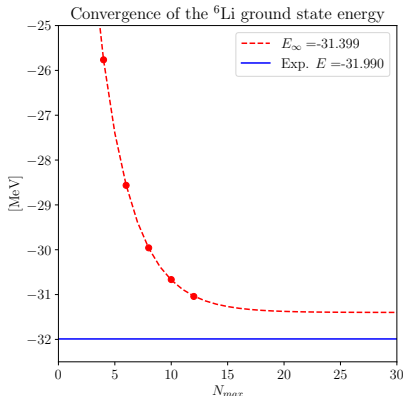
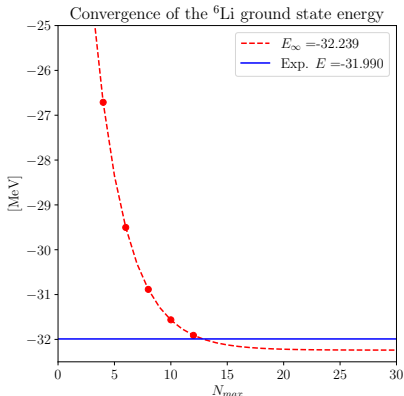


Direct measurements : $\alpha + d \rightarrow {}^6\text{Li} + \gamma$

Indirect measurements : time-inversed reaction ${}^6\text{Li} + {}^{208}\text{Pb} \rightarrow \alpha + d$

→ Errors due to nuclear interferences [Hammache *et al.* PRC **82** 065803 (2010)]

Can the χ -EFT force reproduce the exp. binding energy?



NCSM extrapolation in N_{max} :

NN(N3LO) + 3N locally regulated : ${}^6\text{Li}$ E_{1+} overestimated by 250 keV

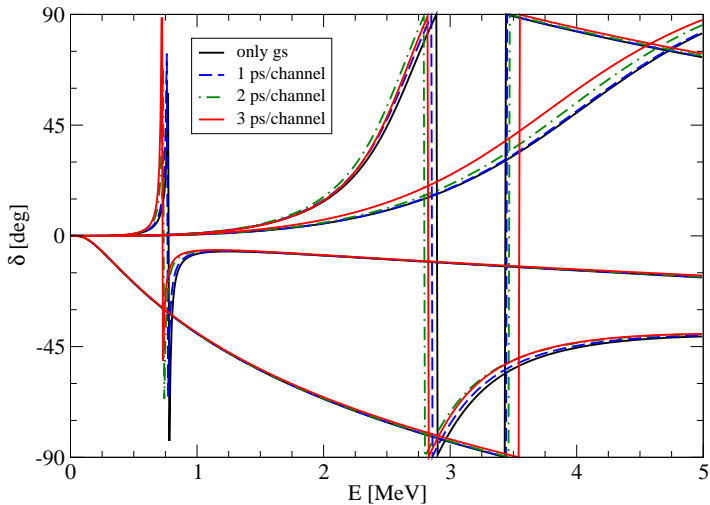
[Gazit, Quaglioni, Navrátil, PRL **122**, 029901 (2019)]

NN(N3LO) + 3N local/non-local regulated : ${}^6\text{Li}$ E_{1+} underestimated by 600 keV

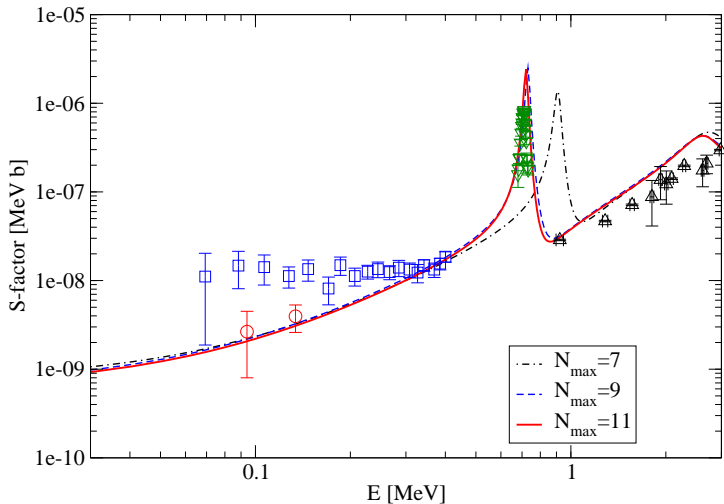
[Entem, Machleidt, Nosyk, PRC **96**, 024004 (2017)]

Convergence in pseudostates

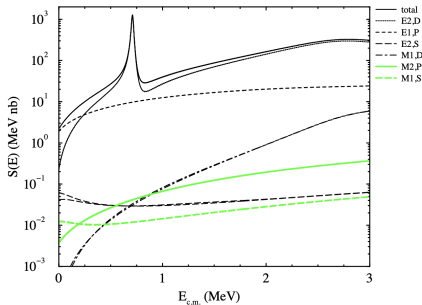
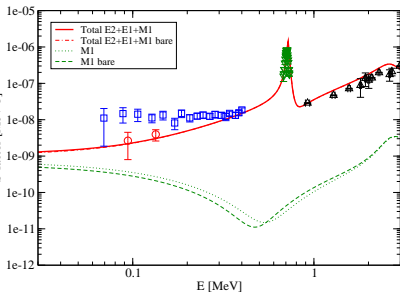
d pseudostates in 3S_1 - 3D_1 , 3D_2 and 3D_3 - 3G_3



Convergence in N_{\max}



What causes this weird shape of M1 transition ?



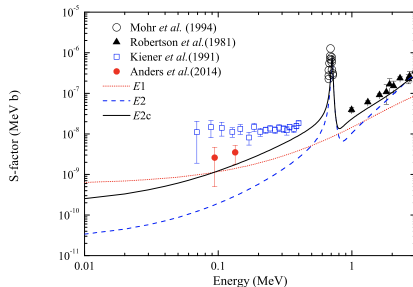
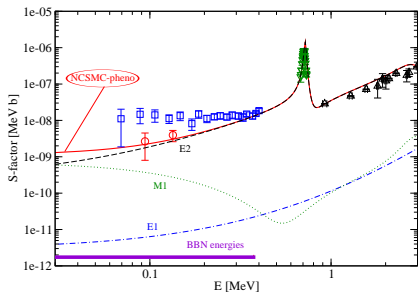
[Nollett *et al.* PRC **63**, 024003 (2001)]

Independence of the SRG evolution

Arise from internal structure of d of ${}^6\text{Li}$

→ visible in other models including microscopic d and ${}^6\text{Li}$

Comparison with a three-body model of ${}^6\text{Li}$



Three-body model of ${}^6\text{Li} \equiv \alpha + n + p$:

→ **smaller E2** : explained by smaller ANCs

→ no **M1** in their model

→ **non-negligible E1** : due to transition $\langle {}^6\text{Li}(1^+; T=1) | M^{E1} | \alpha - d(T=0) \rangle$
with ${}^6\text{Li} 1^+ T=0.005$ vs $T=0.0003$ in NCSMC

[Baye and Tursunov, JPG **45**, 085102 (2018)]

DWBA analysis of $^{13}\text{C}(^6\text{Li},d)^{17}\text{O}$ data

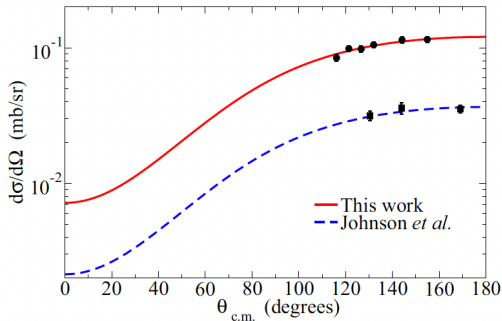
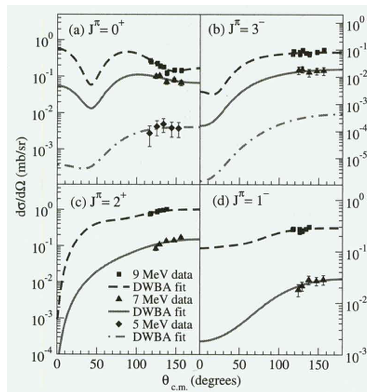
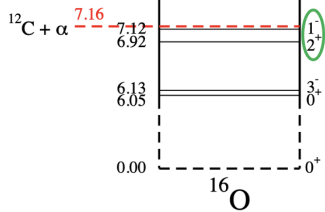


FIG. 3. (Color online) Cross section and DWBA fit as a function of center-of-mass angle of the $1/2^+$ subthreshold resonance state of excitation energy 6.356 MeV in ^{17}O measured in the present work (solid line) and in Ref. [5] (dashed line) by using a ^{13}C beam energy of 7.72 and 7.81 MeV, respectively.

[Avila *et al.* PRC **91**, 048801 (2015)]

DWBA analysis of $^{12}\text{C}(^6\text{Li}, d)^{16}\text{O}$ data



[deBoer *et al.* Rev. Mod. Phys. **89**, 035007 (2017)]